

**Philippine Fisheries Development Authority  
(PFDA)**

Site 1: 2<sup>nd</sup> Floor Philippine Coconut Authority Annex  
Building 1, Elliptical Road, Quezon City, Philippines

Site 2: Zamboanga Fish Port Complex - Barangay Sangali,  
Zamboanga City, Philippines

Eric M. Sims  
QMR and Manager,  
Operations Management  
Department

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**Confirmation certification procedure**

To whom it may concern

We herewith confirm that **Philippine Fisheries Development Authority (PFDA)** is subject of an ongoing certification procedure according to standard **ISO 9001:2015** with **TÜV Rheinland Philippines Inc.**

The audit was conducted last **December 20, 2021**.

The procedure is currently in the examination phase of the certification center.

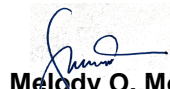
The audit documentation will be submitted as soon as possible after processing and a positive certification decision.

The procedure is listed with the certificate-registration-nr. CN 01 100 1934981

Please do not hesitate to contact us in case of any questions.

Best regards

TÜV Rheinland Philippines Inc.



**Melody O. Mokamad**  
Local Field Manager/Vice General Manager  
Certification of Management Systems

TÜV Rheinland Philippines Inc.

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## Initial Audit Summary Report

**Company Name** : **Philippine Fisheries Development Authority**

**Address** : **Site 1:** 2nd Floor, Philippine Coconut Authority Annex Building 1, Elliptical Road, Quezon City, Philippines  
**Site 2:** Zamboanga Fish Port Complex - Barangay Sangali, Zamboanga City, Philippines

**Attention** : Mr. Eric M. Sims - QMR

**Audit Team** : Michelle Pestañas, Maribeth Lucañas, Hilario Capili, Emiliano Sibolboro (TE)

**Standard / Audit Type**: ISO 9001:2015, Second Surveillance Audit

**Audit Date** : 2021 December 20

**Audited Sites/Scope** : (Overall) Public Administration covering Project Management and Harbor and Market Operation  
**Site 1:** Public Administration covering Project Management  
**Site 2:** Harbor and Market Operation

In the audit, the actual process flow of sample operations was examined in individual areas of the company. In doing so, the auditors reviewed the conformity of the process flows with the requirements of the standard and the descriptions in the management documentation. This audit was performed on the basis of random samples, including interviews and examination of the appropriate documents.

All information gained during the audit will be treated with strict confidentiality by the audit team and the certification body. In view of the sampling approach applied to the audit, weaknesses and nonconformities may still exist which have not been identified during the audit.

### 1. Positive Observation

No.	Unit/Department Site	Positive findings
1/4	Awards Recognition – Site 1	Certificate of Appreciation from Civil Service Commission given Sep 27, 2021 for unrelenting effort and extraordinary services rendered by frontlines who are filled with admirable courage and dedication in performing sworn duties in the midst of COVID 19 pandemic.

✓	The current audit revealed the following nonconformities: Standard(s): ISO 9001:2015 No. of nonconformities: Zero (0) Minor and Zero (0) Major
<input type="checkbox"/>	The major nonconformities (No. x) with individual standard elements require a re-audit to verify the effectiveness of the corrections and corrective actions (probable date:..ddmmyyyy)
✓	The organization has established and maintains an effective system to ensure compliance with its policy and objectives. The audit team confirms in line with the audit targets that the organization's management system complies with, adequately maintains and implements the requirements of the standard(s).

**Recommendation**

<input type="checkbox"/>	Award of the new certificates.
<input checked="" type="checkbox"/>	Maintenance of the existing certification.
<input type="checkbox"/>	Inclusion of the changes (see Section 3) in the scope of application of existing certifications
<input type="checkbox"/>	Maintenance or issue of the certificates only after successful completion of a re-audit.

**Dates**

Due Date for the next audit

2022 December 20

Agreed date for the next audit

2022 November

2021 December 20  
Date

Michelle A. Pestañas  
Audit Leader / Auditor(s)